

PATENT Customer No. 22,852 Attorney Docket No. 7451.0030-00

InterTrust Ref. No.: IT-29.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	
Andrew V. GOLDBERG et al.	Group Art Unit: 3627
Application No.: 09/666,339	Examiner: Lynda C. JASMIN
Filed: September 21, 2000	Confirmation No. 7008
For: SYSTEMS AND METHODS FOR PRICING AND SELLING DIGITAL GOODS	RECEIVED
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	GROUP 3600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

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Sir:

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Copies of the listed documents are attached. Applicants respectfully request that

the Examiner consider the listed documents and indicate that they were considered by

making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and Applicants determine

that the cited documents do not constitute "prior art" under United States law,

Applicants reserve the right to present to the office the relevant facts and law regarding

the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should one or more of

the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please

charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: April 29, 2004

AndrewlB. Schwaab

Reg. No. 38,611



INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07451.0030-00000	Appln. No.	09/666,339
Applicant	Andrew V. Goldberg, et al.		
Filing Date	September 21, 2000	Group:	3627

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	·Class	Sub Class	Filing Date If Appropriate
	08/699,712		Ginter et al.			08/12/96
	09/628,692		Sibert			07/28/00
	5,530,235	06/96	Stefik et al.			
	5,534,975	07/96	Stefik et al.		REC	
	5,629,980	05/97	Stefik et al.			FIVED
	5,634,012	05/97	Stefik et al.		MAY -	4 2004
	5,638,443	06/97	Stefik et al.	G	ROU	3600
	5,715,403	02/98	Stefik			9900

	FOREIGN PATENT DOCUMENTS					
Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	EP 0 715 247 A1	06/96	EPO			
-	WO 01/10076	02/08/01	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
Goldberg, Andrew V., et al., "Competitive Auctions," September 14, 1999, DRAFT, InterTrust Technologies Corp., 460 Oakmead Parkway, Sunnyvale, CA 94086, 17 pages.				
	Goldberg, Andrew V., et al., "Competitive Auctions," September 20, 1999, DRAFT, InterTrust Technologies Corp., 460 Oakmead Parkway, Sunnyvale, CA 94086, 17 pages.			
	Goldberg, Andrew V., et al., "Competitive Auctions for Multiple Digital Goods," May 2, 2000, STAR Lab., InterTrust Technologies Corp., 4750 Patrick Henry Dr., Santa Clara, CA 95054, 10 pages.			
	Knuth, Donald E., "The Art of Computer Programming: Volume 2, Seminumerical Algorithms," 3d ed., Addison-Wesley, 1998, pp. 1-193.			

Examiner		Date Considered	
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
Sibert, Olin, et al., "DigiBox: A Self-Protecting Container for Information Commerce, Proceedings of the First USENIX Workshop on Electronic Commerce," New York, NY, July 1995, 9 pages.
Sibert, Olin, et al., "Securing the Content, Not the Wire, for Information Commerce," InterTrust Technologies Corporation, 1996, 12 pages.
Stefik, M., "Trusted Systems," Scientific American, March 1997, pp. 78-81.
Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Xerox PARC, Palo Alto, CA, 1994-1995, 35 pages.
Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Internet Dreams: Archetypes, Myths, and Metaphors. Massachusetts Institute of Technology, 1996, pp. 219-53.
Stefik, M., "Chapter 7, Classification, Introduction to Knowledge Systems," Morgan Kaufmann Publishers, Inc., 1995, pp. 543-607.
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GROUP 3600

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*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
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